



PATENT  
Application Number: 09/733,896  
Attorney Docket Number: 05725.0806-00  
Customer Number 22,852

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Carlos PINZON, et al. ) Group Art Unit: 1711  
)  
Application No.: 09/733,896 ) Examiner: RAJGURU, U.K.  
)  
Filed: December 12, 2000 )  
)  
For: COSMETIC COMPOSITIONS CONTAINING )  
HETERO POLYMERS AND OIL-SOLUBLE )  
POLYMERS AND METHODS OF USING )  
SAME )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Office the document listed on the attached PTO Form 1449. This Third Supplemental Information Disclosure Statement is being filed concurrently with a Request for Continued Examination under 37 C.F.R. § 1.114. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached. Applicants respectfully request that the Office consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicants submit the following remarks:

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1. **DE 38 39 136 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
2. **DE 197 07 309 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
3. **DE 197 50 246 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
4. **EP 0 374 332 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
5. **EP 0 557 196 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
6. **EP 0 609 132 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
7. **EP 0 749 746 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,945,095, which is believed to be an English language counterpart.
8. **EP 0 749 747 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,851,517, which is believed to be an English language counterpart.
9. **EP 0 775 483 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,972,354, which is believed to be an English language counterpart.
10. **EP 0 847 752 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

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11. **EP 0 879 592 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
12. **EP 0 887 073 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,203,780, which is believed to be an English language counterpart.
13. **EP 0 930 058 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
14. **EP 0 930 060 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,254,877, which is believed to be an English language counterpart.
15. **EP 0 958 811 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
16. **EP 0 959 066 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,165,971, which is believed to be an English language counterpart.
17. **EP 0 959 091 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2002/0119171, which is believed to be an English language counterpart.
18. **EP 0 976 390 A1** -An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2001/0014313, which is believed to be an English language counterpart.

19. **EP 0 984 025 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,280,846, which is believed to be an English language counterpart.

20. **EP 1 002 514 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,203,807, which is believed to be an English language counterpart.

21. **EP 1 031 342 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

22. **EP 1 048 282 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

23. **EP 1 053 742 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

24. **EP 1 064 919 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

25. **EP 1 064 920 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,482,400, which is believed to be an English language counterpart.

26. **EP 1 066 814 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,491,931, which is believed to be an English language counterpart.

27. **EP 1 068 854 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,372,235, which is believed to be an English language counterpart.

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28. **EP 1 068 855 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,402,408, which is believed to be an English language counterpart.

29. **EP 1 086 945 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

30. **EP 1 090 627 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

31. **EP 1 114 636 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2001/0031280, which is believed to be an English language counterpart.

32. **FR 2 232 303** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 3,937,811, which is believed to be an English language counterpart.

33. **FR 2 674 126** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

34. **FR 2 785 179** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

35. **FR 2 802 806** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

36. **FR 2 819 402** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

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37. **JP 53043577 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

38. **JP 56123909 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

39. **JP 56166276 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

40. **JP 61065809 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

41. **JP 2127568 A** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

42. **JP 2216279** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

43. **JP 3014683 A** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

44. **JP 04346909 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

45. **JP 7179795 A** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

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47. **JP 8225316 A** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
48. **JP 9295922 A** - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.
49. **JP 10259344 A** - An English language Abstract of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.
50. **JP 11106216 A** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
51. **WO 91/12793** - An abstract of the disclosure of this document can be found on the front of this document.
52. **WO 94/21233** - An abstract of the disclosure of this document can be found on the front of this document.
53. **WO 95/33000** - An abstract of the disclosure of this document can be found on the front of this document.
54. **WO 02/47605 A2** - An abstract of the disclosure of this document can be found on the front of this document.
55. **WO 02/47627 A1** - An abstract of the disclosure of this document can be found on the front of this document.
56. **WO 02/055031 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

57. **WO 02/056845 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

58. **FR 1 529 329** - A certified English translation of this document is enclosed.

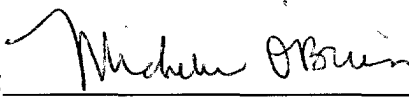
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Please charge any fee due in connection with the filing of this Statement to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, L.L.P.

By:   
Michelle E. O'Brien  
Reg. No. 46,203

Dated: July 24, 2003

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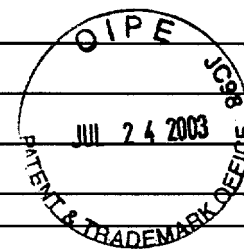
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



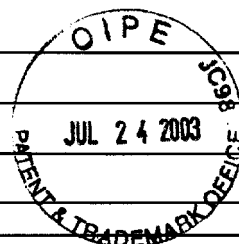
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	2,379,413	03 Jul. 1945	Bradley			
	2,662,068	08 Dec. 1953	Floyd			
	2,663,649	22 Dec. 1953	Winkler			
	2,890,097	09 Jun. 1959	Coe			
	2,962,461	29 Nov. 1960	Toussaint et al.			
	3,141,787	21 Jul. 1964	Goetze et al.			
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	3,255,082	07 Jun. 1966	Barton			
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	3,615,289	26 Oct. 1971	Felton			
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	3,857,960	31 Dec. 1974	Mackles			
	3,926,655	16 Dec. 1975	Miles			
	3,937,811	10 Feb. 1976	Papantoniou et al.			
	3,969,087	13 Jul. 1976	Saito et al.			
	4,049,792	20 Sept. 1977	Elsnau			
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	4,137,306	30 Jan. 1979	Rubino et al.			
	4,150,002	17 Apr. 1979	Drawert et al.			
	4,279,658	21 Jul. 1981	Harvey et al.			
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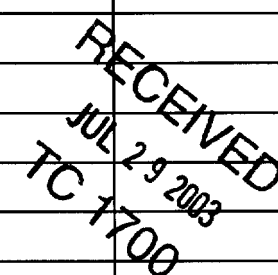
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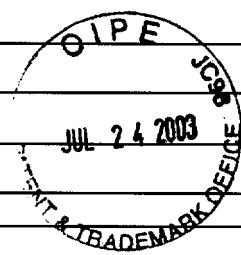
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,337,298	29 Jun. 1982	Karim et al.			
	4,341,671	27 Jul. 1982	Bolze et al.			
	4,376,194	08 Mar. 1983	Tanaka et al.			
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	5,223,559	29 Jun. 1993	Arraudeau et al.			
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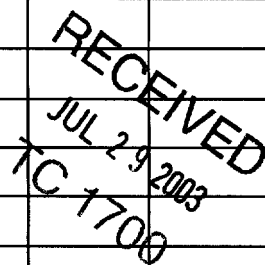
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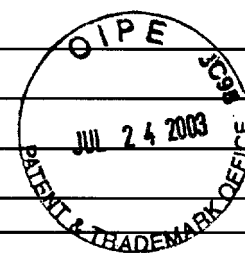
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,719,255	17 Feb. 1998	Heucher et al.			
	5,747,625	05 May 1998	Furukawa et al.			
	5,750,125	12 May 1998	Lahanas et al.			
	5,780,517	14 Jul. 1998	Cohen et al.			
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	5,837,223	17 Nov. 1998	Barone et al.			
	5,849,275	15 Dec. 1998	Calello et al.			
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	6,036,947	14 Mar. 2000	Barone et al.			
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	6,063,398	16 May 2000	Gueret			



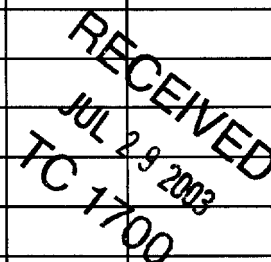
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	6,111,055	29 Aug. 2000	Berger et al.			
	6,165,971	26 Dec. 2000	Oppenlander et al.			
	6,203,780 B1	20 Mar. 2001	Arnaud et al.			
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	6,482,400 B1	19 Nov. 2002	Collin			
	6,491,931 B1	10 Dec. 2002	Collin			
	6,497,861 B1	24 Dec. 2002	Wang et al.			

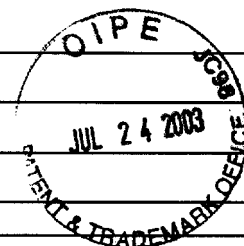


FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		DE 38 39 136 A1	23 May 1990	Germany			Abstract
		DE 197 07 309 A1	13 Aug. 1998	Germany			Abstract
		DE 197 50 246 A1	20 May 1999	Germany			Abstract

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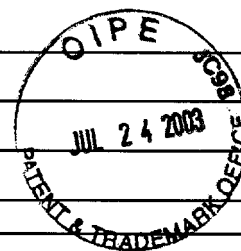
FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		EP 0 370 470 B1	30 May 1990	Europe			English
		EP 0 374 332 A1	27 Jun. 1990	Europe			English
		EP 0 444 633 A2	04 Sep 1991	Europe			English
		EP 0 557 196 A1	25 Aug. 1993	Europe			Abstract
		EP 0 602 905 B1	22 Jun. 1994	Europe			English
		EP 0 609 132 B1	03 Aug. 1994	Europe			Abstract
		EP 0 628 582 B1	14 Dec. 1994	Europe			English
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		EP 0 797 976 A2	01 Oct. 1997	Europe			English
		EP 0 847 752 A1	17 Jun. 1998	Europe			Abstract
		EP 0 877 063 B1	11 Nov. 1998	Europe			English
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		EP 0 928 608 A2	14 Jul. 1999	Europe			English
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		EP 0 984 025 A2	08 Mar. 2000	Europe			Abstract
		EP 1 002 514 A1	24 May 2000	Europe			Abstract

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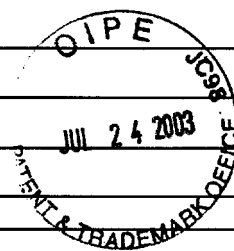


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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



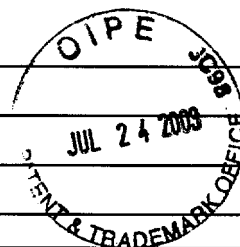
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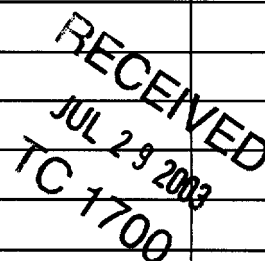
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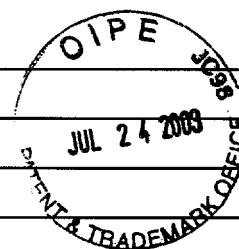
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Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



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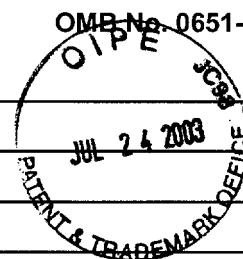
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Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



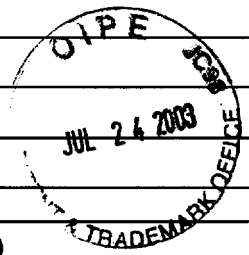
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Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



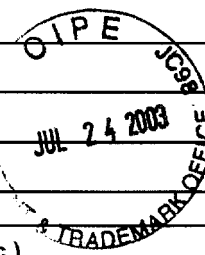
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Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
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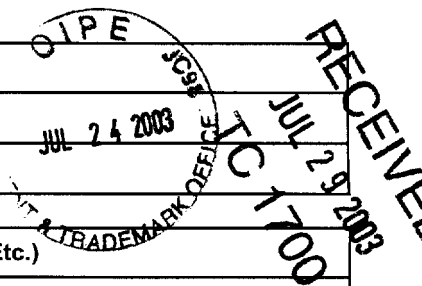
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